Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s. Dalal Securities (Pvt.) Ltd.

Submission Date 20-OCT-2022 16:04:27

1.10 Deposit with authorized intermediary against borowed securities under SLB.		Submission Date 20-OC1-2022 10.04.27		Page	1 Of 3
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Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s. Dalal Securities (Pvt.) Ltd.

Submission Date 20-OCT-2022 16:04:27

S.No. Head of Account Value in Pak Rupee Hair Cut/ Adjustments Net Adjusted value 11.10 Total Assets 22,688 0 22,688 0 22,688 1.19 Total Assets 233,956,711 88,790,814 146,256,360 2 Liabilities 2 233,956,711 88,790,814 146,256,360 2.1 Trade Payable gainst leveraged market products 1 1 146,256,360 1.19 Payable do exchanges and clearing house 2 2 1 146,256,360 1.19 Trade Payable against leveraged market products 1 2 1 146,256,360 1.19 Trade Payable against leveraged market products 2 2 1 1 1.19 Total Assets 2 2,206,553 0 22,206,553 2.2 Current Liabilities 499,743 0 499,743 1.19 Not-current portion of subordinated loans - - - 1.10 Current portion of subordinated loans - - - - 1.19 Povision for taxation - -		Submission Date 20-OCT-2022 10.04.27		Page	2 Of 3
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C. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in suice of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advances against advance of capital. iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the general statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statement is which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haicut will be allowed against study reflect the amount to be repaid after 12 months of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be executed to exchange. i. Subordinated clears which do not fulfill the conditions specified by SECP i. Subordinated clears to -cleant bas by which any amount receivable from any of the financees exceed 10% of the aggregate of in must receivable from total finances. if the maximum state executes the maximum structure state and and iii) the carcuittes lending and borrowing The amount by which the aggregate of iii (O amout deposited of securites bits than or equal to the subscription price; the aggregate of. iii the case of fight issue; if the market value of securites is less than or equal to the subscription price; the aggregate of. iii the case of fig		a. The existing authorized share capital allows the proposed enhanced share capital			
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3 Ranking Liabilities Relating to :	2.5	Total Liabilities	35,343,796	0	35.343.796
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3.4 Negative equity of subsidiary Image: constraint of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: constraint of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: constraint of the subsidiary 3.6 Amount Payable under REPO Image: constraint of the subsidiary		(b) in any other case : 12.5% of the net underwriting commitments			
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3.6 Amount Payable under REPO					
3.7					
	3.7				

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-JUN-22**

of M/s Dalal Securities (Pvt.) Ltd.

Submission Date 20-OCT-2022 16:04:27

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	198,612,915	88,790,814	110,914,564